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2128

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/766,615

Filing Date

July 26, 2001

First Named Inventor

Glenn Ferguson

Art Unit

2128

Examiner Name

Russell Warren Frejd

Attorney Docket Number

PA3937US

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

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Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

- 1) Confirmation postcard;
- 2) Form PTO/SB/08 (x2);
- 3) Duplicate of Supplemental IDS;
- 4) Five non-patent references.

Remarks

Total page number does not include postcard or non-patent references.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Printed name

Daniel C. Kloke

Date

April 6, 2007

Reg. No.

58,417

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Daniel C. Kloke, Reg. No. 58,417

Date

April 6, 2007

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Glenn Ferguson  
APPLICATION NO.: 09/766,615  
FILED: July 26, 2001  
TITLE: Data Model for Automated Server Configuration  
EXAMINER: Russell Warren Frejd  
GROUP ART UNIT: 2128  
ATTY.DKT.NO.: PA3937US

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\_\_\_\_\_  
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COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in this application. The references cited

may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicant declares, in accordance with 37 C.F.R. § 1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after having made a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicants believe no fee is due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Glenn Ferguson

April 6, 2007

By:



Daniel C. Kloke, Reg. No. 58,417

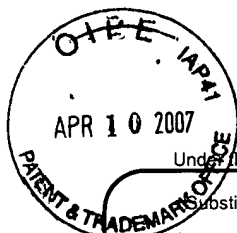
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Palo Alto, CA 94303

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FAX: (650) 812-3444



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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## Complete if Known

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

Sheet 1 of 1

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,009,274	12-28-1999	Fletcher et al.	
		US- 6,138,153	10-24-2000	Collins, III, et al.	
		US-			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

Sheet

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of

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PAUL ANDERSON, Towards a High Level Machine Configuration System, Proc. Eighth USENIX Sys. Admin. Conference (LISA), Sept. 19-23, 1994, pages 19-26, San Diego, CA, USA.	
		IMAZU HIDEYO, OMNICONF - Making OS Upgrades and Disk Crash Recovery Easier, Proc. Eighth USENIX Sys. Admin. Conference (LISA), Sept. 19-23, 1994 pages 27-32, San Diego, CA, USA.	
		MICHAEL FISK, Automating the Administration of Heterogeneous LANs, Proc. Tenth USENIX Sys. Admin. Conference (LISA X), Sept. 29-Oct. 4, 1996, pp. 181-186, Chicago, IL, USA.	
		DAVE WINER, XML-RPC Specification, June 15, 1999, available at < <a href="http://www.xmlrpc.com/spec">http://www.xmlrpc.com/spec</a> >.	
		DAVID K. HESS et al., A Unix Network Protocol Security Study: Network Information Service, Technical Report, 1992, A&M University, Texas, USA.	

Examiner Signature	Date Considered
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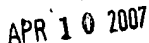
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Substitute for form 1449/PTO

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**Complete if Known**

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

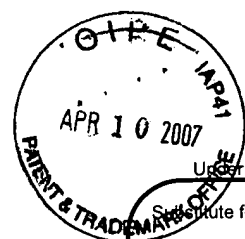
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			Application Number	09/766,615
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			Examiner Name	Russell Warren Frejd
			Attorney Docket Number	PA3937US
Sheet	1	of	1	

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PAUL ANDERSON, Towards a High Level Machine Configuration System, Proc. Eighth USENIX Sys. Admin. Conference (LISA), Sept. 19-23, 1994, pages 19-26, San Diego, CA, USA.	
		IMAZU HIDEYO, OMNICONF - Making OS Upgrades and Disk Crash Recovery Easier, Proc. Eighth USENIX Sys. Admin. Conference (LISA), Sept. 19-23, 1994 pages 27-32, San Diego, CA, USA.	
		MICHAEL FISK, Automating the Administration of Heterogeneous LANs, Proc. Tenth USENIX Sys. Admin. Conference (LISA X), Sept. 29-Oct. 4, 1996, pp. 181-186, Chicago, IL, USA.	
		DAVE WINER, XML-RPC Specification, June 15, 1999, available at < <a href="http://www.xmlrpc.com/spec">http://www.xmlrpc.com/spec</a> >.	
		DAVID K. HESS et al., A Unix Network Protocol Security Study: Network Information Service, Technical Report, 1992, A&M University, Texas, USA.	

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